

**INP0007-US** 

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

TAM ET AL.

Serial No.: 10/706,975

Filed: November 14, 2003

For:

SYSTEM AND METHOD FOR

PROVIDING CONFIGURABLE, DYNAMIC MULTIMEDIA

MESSAGE SERVICE PRE-

TRANSCODING

Art Unit: Unknown

Examiner: Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No.

INP0007-US and proceed to consider this Information Disclosure Statement.

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Serial No.: 10/706,975 Attorney's Docket No.: INP0007-US

Art Unit: Unknown

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It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentablity of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

SHAW PITTMAN LLP 1650 Tysons Boulevard

McLean, VA 22102

Tel: 703/770-7900

Date: January 15, 2004

Respectfully submitted,

TAM ET AL.

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Customer No. 28970

Document #: 1268446 v.1



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/706,975	
STATEMENT BY APPLICANT  (use as many sheets as necessary)				Filing Date	November 14, 2003	
			LICANT	First Named Inventor	TAM, ET AL.	
				Art Unit		
			ssary)	Examiner Name		
Sheet	1	of	2	Attorney Docket Number	INP0007-US	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			T <sup>6</sup>	
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Examiner Signature	Date Considered	
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional).

² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁵ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B (10-01)

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Substitute for form 1449B/PTO		Complete if Known		
INIT	COMMITTON DISCLOSURE	Application Number	10/706,975	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	November 14, 2003	
		First Named Inventor	TAM, et al.	
		Group Art Unit		
		Examiner Name		
Sheet	of	Attorney Docket Number	INP0007-US	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		S. C. Ihde et al.; "Intermediary-based Transcoding Framework"; IBM SYSTEMS JOURNAL, VOL. 40, NO. 1, 2001			
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Examiner Signature		Date Considered			

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